

**GARTEISER HONEA, P.C.**

Randall T. Garteiser (SBN 231821)  
Christopher A. Honea (SBN 232473)  
Ian Ramage (SBN 224881)  
Kirk J. Anderson (SBN 289043)  
Peter S. Brasher (SBN 283992)  
218 N. College Ave  
Tyler, Texas 75702  
[Tel.] (903) 705-7420  
[Fax] (888) 908-4400  
rgarteiser@ghiplaw.com  
chonea@ghiplaw.com  
iramage@ghiplaw.com  
kanderson@ghiplaw.com  
pbrasher@ghiplaw.com

*Attorneys for Plaintiff and Counter-Defendant*  
Blue Spike, LLC

**ARNOLD & PORTER LLP**

Michael A. Berta (SBN 194650)  
Three Embarcadero Center, 7th Floor  
San Francisco, CA 94111  
Phone: (415) 471-3100  
Fax: (415) 471-3400  
michael.bera@aporter.com

Wallace W. Wu (SBN 220110)  
Nicholas H. Lee (SBN 259588)  
777 S. Figueroa Street, 44th Floor  
Los Angeles, CA 90017  
Phone: (213) 243-4000  
Fax: (213) 243-4199  
wallace.wu@aporter.com  
nicholas.lee@aporter.com

*Attorneys for Defendant Google Inc.*

**FENWICK & WEST LLP**

Teresa M. Corbin (SBN 132360)  
Bryan A. Kohm (SBN 233276)  
David Lacy Kusters (SBN 241335)  
555 California Street, 12th Floor  
San Francisco, California 94104  
Telephone: (415) 875-2300  
Facsimile: (415) 281-1350  
tcorbin@fenwick.com  
bkohm@fenwick.com  
dlacykusters@fenwick.com

Darren Donnelly (SBN 194335)  
801 California Street  
Mountain View, CA 94041  
Telephone: (650) 988-8500  
Facsimile: (650) 938-5200  
ddonnelly@fenwick.com

*Attorneys for Plaintiff and Counter-Defendant*  
AOptix Technologies, Inc., *Defendant*  
SoundHound, Inc., and *Defendant* Zeitera, LLC

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION**

AOPTIX TECHNOLOGIES,  
Plaintiff,

v.

BLUE SPIKE, LLC,  
Defendant.

Case No. 13-cv-01105 (YGR) and Related Cases

**JOINT PROPOSED PROTECTIVE ORDER**

BLUE SPIKE, LLC,  
Plaintiff,

v.

ZEITERA LLC,  
Defendant.

Case No. 14-cv-01648 (YGR)

BLUE SPIKE, LLC,  
Plaintiff,

v.

SOUNDHOUND INC.,  
Defendant.

Case No. 14-cv-01649 (YGR)

BLUE SPIKE, LLC,  
Plaintiff,

v.

GOOGLE INC.,  
Defendant.

Case No. 14-cv-01650 (YGR)

In order to expedite the flow of discovery materials, facilitate the prompt resolution of disputes over confidentiality of discovery materials, adequately protect information the parties are entitled to keep confidential, ensure that only materials the parties are entitled to keep confidential are subject to such treatment, and ensure that the parties are permitted reasonably necessary uses of such materials in preparation for and in the conduct of trial, pursuant to Fed. R. Civ. P. 26(c), it is hereby ORDERED THAT:

**1. INFORMATION SUBJECT TO THIS ORDER**

Discovery materials produced in this case may be labeled as one of three categories: CONFIDENTIAL, CONFIDENTIAL OUTSIDE COUNSEL ONLY and RESTRICTED CONFIDENTIAL — SOURCE CODE, as set forth in Items A through C below. All three of the identified categories of information shall be identified collectively in this Order by the title "Protected Information."

**A. Information Designated as Confidential Information**

1.A.1. For purposes of this Order, "CONFIDENTIAL INFORMATION" shall mean all information or material produced for or disclosed in connection with this action to a receiving party that a producing party, including any party to this action and any nonparty producing information or material voluntarily or pursuant to a subpoena or a court order in connection with this action, considers to comprise confidential technical, sales, marketing, financial, or other sensitive information qualifying for protection under standards developed pursuant to Fed. R. Civ. P. 26(c), whether embodied in physical objects, documents, or the factual knowledge of persons, and which has been so designated by the producing party. Any CONFIDENTIAL INFORMATION obtained by any party pursuant to discovery in this litigation may be used only for purposes of this litigation.

1.A.2. Any document or tangible thing containing or including any CONFIDENTIAL INFORMATION may be designated as such by the producing party by marking it "CONFIDENTIAL" (or other appropriate designation) prior to or at the time copies are furnished to the receiving party.

1                   1.A.3. All CONFIDENTIAL INFORMATION not reduced to documentary,  
2 tangible or physical form or which cannot be conveniently designated as set forth in Section 1.A.2,  
3 shall be designated by the producing party by informing the receiving party of the designation in  
4 writing.

5                   1.A.4. Any documents (including physical objects) made available for inspection by  
6 counsel for the receiving party prior to producing copies of selected items shall initially be  
7 considered, as a whole, to constitute CONFIDENTIAL INFORMATION (unless otherwise  
8 designated at the time of inspection) and shall be subject to this Order. Thereafter, the producing  
9 party shall have a reasonable time to review and designate the appropriate documents as  
10 CONFIDENTIAL INFORMATION (or otherwise as appropriate) prior to furnishing copies to the  
11 receiving party.

12                   1.A.5. The following information is not CONFIDENTIAL INFORMATION:

13                   (a) Any information that is found in advertising materials, materials that  
14 on their face show that they have been published to the general public, or documents that have been  
15 submitted to any governmental entity without request for confidential treatment;

16                   (b) Any information that is or, after its disclosure to a receiving party,  
17 becomes part of the public domain as a result of publication not involving a violation of this Order  
18 or other obligation to maintain the confidentiality of such information;

19                   (c) Any information that the receiving party can show was already  
20 publicly known prior to the disclosure; and,

21                   (d) Any information that the receiving party can show by written records  
22 was received by it from a source who obtained the information lawfully and under no obligation of  
23 confidentiality to the producing party.  
24

25                   1.A.6. Documents designated CONFIDENTIAL and information contained therein  
26 shall be available only to:  
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(a) Opposing litigation counsel of record receiving such documents and the supporting personnel employed in the law firm(s) of outside litigation counsel of record, such as attorneys, paralegals, legal translators, legal secretaries, legal clerks and shorthand reporters;

(b) Technical advisers and their necessary support personnel, subject to the provisions of section 4.A-4.F herein, and who have signed the form attached hereto as Attachment A; the term “technical adviser” shall mean independent outside expert witnesses or consultants (i.e., not employees of a party) with whom counsel may deem it necessary to consult and who comply with sections 2 and 3;

(c) Up to two in-house counsel, who are members of at least one state bar in good standing, with responsibility for managing this litigation;

(d) The Court, its personnel and stenographic reporters (under seal or with other suitable precautions determined by the Court); and,

(e) Independent legal translators retained to translate in connection with this action; independent stenographic reporters and videographers retained to record and transcribe testimony in connection with this action; graphics, translation, or design services retained by counsel for purposes of preparing demonstrative or other exhibits for deposition, trial, or other court proceedings in the actions; non-technical jury or trial consulting services; mock jurors, *provided* they are only exposed to CONFIDENTIAL INFORMATION during the course of a mock trial or similar exercise and separately sign onto this Order and agree to be bound to its terms prior to said mock trial or similar exercise.

(f) Any other person with the prior written consent of the Producing Party.

**B. Information Designated Confidential Outside Counsel Only**

1.B.1. The CONFIDENTIAL OUTSIDE COUNSEL ONLY designation is reserved for CONFIDENTIAL INFORMATION that constitutes (a) marketing, financial, sales, web traffic, research and development, or technical, data or information; (b) commercially sensitive competitive information, including, without limitation, information obtained from a nonparty pursuant to a current Non-disclosure Agreement (“NDA”); (c) information or data relating to future products not

1 yet commercially released and/or strategic plans; and, (d) commercial agreements, settlement  
 2 agreements or settlement communications, the disclosure of which is likely to cause harm to the  
 3 competitive position of the producing party. Documents marked CONFIDENTIAL OUTSIDE  
 4 ATTORNEYS' EYES ONLY, HIGHLY CONFIDENTIAL or RESTRICTED CONFIDENTIAL  
 5 shall be treated as if designated CONFIDENTIAL OUTSIDE COUNSEL ONLY. In determining  
 6 whether information should be designated as CONFIDENTIAL OUTSIDE COUNSEL ONLY,  
 7 each party agrees to use such designation only in good faith.

8 1.B.2. Documents designated CONFIDENTIAL OUTSIDE COUNSEL ONLY and  
 9 information contained therein shall be available only to the persons or entities listed in sections  
 10 1.A.6(a), (b), (d) & (e) subject to any terms set forth or incorporated therein and not any person or  
 11 entity listed in section 1.A.6(c).

12 C. Information Designated Restricted Confidential - Source Code

13 1.C.1. The RESTRICTED CONFIDENTIAL — SOURCE CODE designation is  
 14 reserved for CONFIDENTIAL INFORMATION that contains or substantively relates to a party's  
 15 "Source Code," which shall mean documents containing or substantively relating to confidential,  
 16 proprietary and/or trade secret source code—*i.e.*, computer instructions or data definitions  
 17 expressed in a form suitable for input to an assembler, compiler, other translator, or other data  
 18 processing module—or technical design documentation. The following conditions shall govern the  
 19 production, review and use of source code or design documentation information.  
 20

21 1.C.2. The receiving party's outside counsel and/or expert shall be entitled to take  
 22 written notes relating to the Source Code but may not copy any portion of the Source Code into the  
 23 notes. No copies of all or any portion of the Source Code may leave the room in which the Source  
 24 Code is inspected except as otherwise provided herein. Further, no other written or electronic record  
 25 of the Source Code is permitted except as otherwise provided herein. Finally, there shall be no  
 26 wholesale copying of source code.  
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1                   1.C. 3. All such Source Code, and any other Protected Information designated as  
2                   “RESTRICTED CONFIDENTIAL —SOURCE CODE,” shall be subject to the following  
3                   provisions:

4                                   (a)       Source Code, to the extent any producing party agrees to provide any  
5                   such information, shall ONLY be made available for inspection, not produced except as provided  
6                   for below, and shall be made available in electronic format at one of the following locations chosen  
7                   at the sole discretion of the producing party:

8   (1) the offices of the producing party’s primary outside counsel of  
9   record in this action;

10    (2) a single, third-party site located within any judicial district in  
11    which the Source Code is stored in the ordinary course of business  
12    (e.g., an escrow company); or

13    (3) a location mutually agreed upon by the receiving and producing  
14    parties. Any location under (1), (2) or (3) above shall be in the  
15    continental United States. Each party will bear its own costs  
16    associated with hosting the Source Code at the third-party site.

17                                   (b) Source Code will be loaded on a single, non-networked computer that is  
18                   password protected and maintained in a secure, locked area (“Source Code Computer”). Use or  
19                   possession of any input/output device (e.g., USB memory stick, cameras or any camera-enabled  
20                   device, CDs, floppy disk, portable hard drive, laptop, etc.) is prohibited while accessing the  
21                   computer containing the Source Code. All persons entering the locked room containing the Source  
22                   Code must agree to submit to reasonable security measures to ensure they are not carrying any  
23                   prohibited items before they will be given access to the locked room. The Source Code Computer  
24                   containing Source Code will be made available for inspection during regular business hours, upon  
25                   reasonable notice to the producing party, which shall not be less than 3 business days in advance of  
26                   the requested inspection. For purposes of this paragraph, “regular business hours” are defined at  
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1 9:00 AM to 6:00 PM. Moreover, upon reasonable notice from the receiving party, the producing  
2 Party shall make reasonable efforts to accommodate the receiving party's request for access to the  
3 Source Code Computer outside of normal business hours.

4 (c) The receiving party's outside counsel and/or experts may request that  
5 commercially available licensed software tools for viewing and searching Source Code be installed  
6 on the secured computer. The receiving party must provide the producing party with the CD or  
7 DVD containing such software tool(s) at least four business days in advance of the inspection.  
8

9 (d) No person shall copy, e-mail, transmit, upload, download, print,  
10 photograph or otherwise duplicate any portion of the designated Source Code, except as the  
11 receiving party may request a reasonable number of pages of Source Code to be printed by the  
12 producing party, but only if and to the extent necessary for use in this action. In no event may the  
13 receiving party print more than 25 consecutive pages, or an aggregate total of more than 1000  
14 pages, of Source Code during the duration of the case without prior written approval by the  
15 producing party. Any output from tools installed on the computer containing the Source Code shall  
16 be considered Source Code. Within 5 business days or such additional time as necessary due to  
17 volume requested, the producing party will provide the requested material on watermarked or  
18 colored paper bearing Bates numbers and the legend "RESTRICTED CONFIDENTIAL —  
19 SOURCE CODE" unless objected to as discussed below. The printed pages shall constitute part of  
20 the Source Code produced by the producing party in this action. At the inspecting parties' request,  
21 up to two additional sets (or subsets) of printed Source Code may be requested and provided by the  
22 producing party in a timely fashion.

23 (e) If the producing party objects that the printed portions are not  
24 reasonably necessary to any case preparation activity, the producing party shall make such objection  
25 known to the receiving party within five business days. If after meeting and conferring the  
26 producing party and the receiving party cannot resolve the objection (where such meet-and-confer  
27 need not take place in person), the producing party shall be entitled, but not required, to seek a  
28 Court resolution of whether the printed Source Code in question is reasonably necessary to any case



1 preparation activity. The burden on any such request to the Court shall be on the producing party to  
2 establish that the printed portions are not reasonably necessary to any case preparation activity.  
3 Contested Source Code print outs need not be produced to the requesting party until the matter is  
4 resolved by the Court.

5 (f) Any printed pages of Source Code, and any other documents or things  
6 reflecting Source Code that have been designated by the producing party as “RESTRICTED  
7 CONFIDENTIAL — SOURCE CODE” may not be copied, digitally imaged or otherwise  
8 duplicated, except in limited excerpts necessary to attach as exhibits to depositions, expert reports,  
9 or court filings as discussed below.

10 (g) Any paper copies designated “RESTRICTED CONFIDENTIAL —  
11 SOURCE CODE” shall be stored or viewed only at (i) the offices of outside counsel for the  
12 receiving party, (ii) the site where any deposition is taken (iii) the Court; or (iv) any intermediate  
13 location necessary to transport the information to a hearing, trial or deposition. Any such paper  
14 copies shall be maintained at all times in a secure location under the direct control of counsel  
15 responsible for maintaining the security and confidentiality of the designated materials.

16 (h) A list of names of persons who will view the Source Code will be  
17 provided to the producing party in conjunction with any written (including email) notice requesting  
18 the inspection. The receiving party shall maintain a daily log of the names of persons who enter the  
19 locked room to view the Source Code and when they enter and depart. The producing party shall be  
20 entitled to have a person observe all entrances and exits from the Source Code viewing room, and to  
21 a copy of the log.

22 (i) Unless otherwise agreed in advance by the parties in writing,  
23 following each inspection, the receiving party’s outside counsel and/or experts shall remove all of  
24 its own notes, documents, and all other materials from the room that may contain work product  
25 and/or attorney-client privileged information. The producing party shall not be responsible for, and  
26 there will be no expectation of confidentiality for, any items left in the room following each  
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1 inspection session. However, any items or notes left in the room shall not be deemed or considered  
2 a waiver of the attorney work product privilege. Notes or other files generated in the course of an  
3 inspection on the computer containing Source Code may be removed by the producing party.

4 (j) The receiving party will not copy, remove, or otherwise transfer any  
5 portion of the Source Code from the Source Code Computer including, without limitation, copying,  
6 removing, or transferring any portion of the Source Code onto any other computers or peripheral  
7 equipment. The receiving party will not transmit any portion of the Source Code in any way from  
8 the location of the Source Code inspection.

9  
10 (k) Only the following individuals shall have access to “RESTRICTED  
11 CONFIDENTIAL - SOURCE CODE” materials, absent the express written consent of the  
12 Producing Party or further court order:

13 (1) Outside counsel of record for the party to this action receiving  
14 such materials, including any attorneys, paralegals, technology specialists and clerical employees of  
15 their respective law firms;

16 (2) Up to three (3) outside experts or consultants per party, pre-  
17 approved in accordance with Sections 4.A-4.F and specifically identified as eligible to access  
18 Source Code;

19 (3) The Court, its technical advisor (if one is appointed), the jury,  
20 court personnel, and court reporters or videographers recording testimony or other proceedings in  
21 this action. Court reporters and/or videographers shall not retain or be given copies of any portions  
22 of the Source Code. If used during a deposition, the deposition record will identify the exhibit by its  
23 production numbers;

24 (4) While testifying at deposition or hearings or trial in this action  
25 only: (i) any current or former officer, director or employee of the producing party or original  
26 source of the information; (ii) any person designated by the producing party to provide testimony  
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1 pursuant to Rule 30(b)(6) of the Federal Rules of Civil Procedure; and/or (iii) any person who  
2 authored, previously received (other than in connection with this litigation), or was directly  
3 involved in creating, modifying, or editing the Source Code, as evident from its face or reasonably  
4 certain in view of other testimony or evidence. Persons authorized to view Source Code pursuant to  
5 this sub-section shall not retain or be given copies of the Source Code except while so testifying.

6 (l) The Receiving Party's outside counsel shall maintain a log of all  
7 copies of the Source Code (received from a Producing Party) that are delivered by the Receiving  
8 Party to any qualified person under section 1.C.3(g) above, with the exception of outside counsel.  
9 The log shall include the names of the recipients and reviewers of copies and locations where the  
10 copies are stored. Upon request by the producing party, the receiving party shall provide reasonable  
11 assurances and/or descriptions of the security measures employed by the receiving party and/or  
12 qualified person that receives a copy of any portion of the Source Code;

13 (m) Except as provided in this section, the Receiving Party may not create  
14 electronic images, or any other images, of the Source Code from the paper copy for use on a  
15 computer (e.g., may not scan the source code to a PDF, or photograph the code). The Receiving  
16 Party may create an electronic copy or image of limited excerpts of Source Code only to the extent  
17 necessary in a pleading, exhibit, expert report, discovery document, deposition transcript, other  
18 Court document, or any drafts of these documents ("SOURCE CODE DOCUMENTS"). The  
19 receiving party shall only include such excerpts as are reasonably necessary for the purposes for  
20 which such part of the Source Code is used. Images or copies of Source Code shall not be included  
21 in correspondence between the parties (references to production numbers shall be used instead) and  
22 shall be omitted from pleadings and other papers except to the extent permitted herein. The  
23 receiving party may create an electronic image of a selected portion of the Source Code only when  
24 the electronic file containing such image has been encrypted using commercially reasonable  
25 encryption software including password protection. The communication and/or disclosure of  
26 electronic files containing any portion of Source Code shall at all times be limited to individuals  
27 who are authorized to see Source Code under the provisions of this Protective Order. The  
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1 Receiving Party's outside counsel shall maintain a log described in Section 1.C.3(l) above.

2 Additionally, all electronic copies must be labeled "RESTRICTED CONFIDENTIAL — SOURCE  
3 CODE."

4 (n) To the extent portions of Source Code are quoted in a SOURCE  
5 CODE DOCUMENT, either (1) the entire document will be stamped and treated as RESTRICTED  
6 CONFIDENTIAL — SOURCE CODE or (2) those pages containing quoted Source Code will be  
7 separately bound, and stamped and treated as RESTRICTED CONFIDENTIAL — SOURCE  
8 CODE.

9 (o) All copies of any portion of the Source Code in whatever form shall  
10 be securely destroyed if they are no longer in use. Copies of Source Code that are marked as  
11 deposition exhibits shall not be provided to the Court Reporter or attached to deposition transcripts;  
12 rather, the deposition record will identify the exhibit by its production numbers.

13 (p) The receiving party's outside counsel may only disclose a copy of the  
14 Source Code to individuals specified in section 1.C.3(k) above (e.g., Source Code may not be  
15 disclosed to in-house counsel).

## 16 **2. PROSECUTION BAR**

17 2.A. Any individual representing or affiliated with a party asserting patent infringement in  
18 this case who reviews any of an opposing party's CONFIDENTIAL OUTSIDE COUNSEL ONLY  
19 Materials or RESTRICTED CONFIDENTIAL — SOURCE CODE (all of which shall also be  
20 automatically designated as "Prosecution Bar Materials") shall not, for a period commencing upon  
21 receipt of such information and ending three years following the conclusion of this case (including  
22 any appeals) engage in any Prosecution Activity (as defined below) on behalf of any person or  
23 entity.

24 2.B. Prosecution Activity shall mean any activity related to the competitive business  
25 decisions involving: (i) the preparation, prosecution, or assistance in the preparation (for any person  
26 or entity) of patent applications, patent claims, or post grant proceedings at a patent office relating  
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1 to the subject matter of the patents asserted in this action or any patent or application claiming  
2 priority to or otherwise related to the patents asserted in this action; or (ii) advising or counseling  
3 clients regarding the same, including but not limited to providing any advice or counseling  
4 regarding, or participating in, the drafting of claims for any patent application, reissue application,  
5 reexamination, *inter partes* review, post grant review, covered business method review, or any other  
6 proceeding at the U.S. Patent and Trademark Office. Nothing in this paragraph shall prevent any  
7 attorney from sending non-confidential prior art to an attorney involved in patent prosecution for  
8 purposes of ensuring that such prior art is submitted to the U.S. Patent and Trademark Office (or  
9 any similar agency of a foreign government) to assist a patent applicant in complying with its duty  
10 of candor. Nothing in this provision shall prohibit any attorney of record in this litigation from  
11 discussing any aspect of this case that does not include CONFIDENTIAL OUTSIDE COUNSEL  
12 ONLY Materials or RESTRICTED CONFIDENTIAL — SOURCE CODE that is reasonably  
13 necessary for the prosecution or defense of any claim or counterclaim in this litigation with his/her  
14 client.

15 **3. ACQUISITION BAR**

16 3.A. Any individual representing or affiliated with a party asserting patent infringement in  
17 this case who reviews any of an opposing party's Confidential Materials, CONFIDENTIAL  
18 OUTSIDE COUNSEL ONLY Materials or RESTRICTED CONFIDENTIAL — SOURCE CODE  
19 Materials shall not, for a period commencing upon receipt of such information and ending three  
20 years following the conclusion of this case (including any appeals) engage in any Acquisition  
21 Activity (as defined below) on behalf of a party asserting a patent in this case.  
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23 3.B. Acquisition Activity shall mean any activity related to: (i) the acquisition of patents  
24 or patent applications (for any person or entity) relating to the subject matter of the patents asserted  
25 in this action or any patent or application claiming priority to or otherwise related to the patents  
26 asserted in this action; or (ii) advising or counseling clients regarding the same.  
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1       **4. DISCLOSURE OF TECHNICAL ADVISERS**

2           4.A. Information designated by the producing party under any category of Protected  
3 Information and such copies of this information as are reasonably necessary for maintaining,  
4 defending or evaluating this litigation may be furnished and disclosed to the receiving party's  
5 technical advisers and their necessary support personnel.

6           4.B. No disclosure of Protected Information to a technical adviser or their necessary  
7 support personnel shall occur until that person has signed the form attached hereto as Attachment A,  
8 and a signed copy has been provided to the producing party; and to the extent there has been an  
9 objection, that objection is resolved according to the procedures set forth below.

10           4.C. A party desiring to disclose Protected Information to a technical adviser shall also  
11 give prior written notice of the intended disclosure by email to all counsel of record in the litigation,  
12 and the producing party shall have five business days after such notice is given to object in writing  
13 to the disclosure. The party desiring to disclose Protected Information to a technical adviser must  
14 provide the following information for each technical adviser: name, address, curriculum vitae,  
15 current employer, employment history for the past ten years, a listing of cases in which the witness  
16 has testified as an expert at trial or by deposition within the preceding five years, and an  
17 identification of any patents or patent applications in which the technical adviser is identified as an  
18 inventor or applicant, is involved in prosecuting or maintaining, or has any pecuniary interest. No  
19 Protected Information shall be disclosed to such expert(s) or consultant(s) until after the expiration  
20 of the foregoing notice period and resolution of any objection.

21           4.D. A party objecting to disclosure of Protected Information to a technical adviser shall  
22 state with particularity the ground(s) of the objection. The objecting party's consent to the disclosure  
23 of Protected Information to a technical adviser shall not be unreasonably withheld, and its objection  
24 must be based on that party's good faith belief that disclosure of its Protected Information to the  
25 technical adviser will result in specific business or economic harm to that party.  
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1           4.E.    If after consideration of the objection, the party desiring to disclose the Protected  
 2 Information to a technical adviser refuses to withdraw the technical adviser, that party shall provide  
 3 notice to the objecting party. Thereafter, the objecting party shall move the Court, within five  
 4 business days of receiving such notice, for a ruling on its objection. A failure to file a motion  
 5 within the five business day period, absent an agreement of the parties to the contrary or for an  
 6 extension of such five business day period, shall operate as an approval of disclosure of Protected  
 7 Information to the technical adviser. The parties agree to cooperate in good faith to shorten the time  
 8 frames set forth in this Section if necessary to abide by any discovery or briefing schedules.

9           4.F.    The objecting party shall have the burden of showing to the Court “good cause” for  
 10 preventing the disclosure of its Protected Information to the technical adviser. This “good cause”  
 11 shall include a particularized showing that: (1) the Protected Information is confidential commercial  
 12 information, (2) disclosure of the Protected Information likely would result in a clearly defined and  
 13 serious injury to the objecting party's business, (3) the proposed technical advisor is in a position to  
 14 allow the Protected Information to be disclosed to or become known by the objecting party's  
 15 competitors, and (4) that the technical advisor's access to Protected Information may create other  
 16 confidentiality or legal risks in connection with other patent-related activities or interests tied to the  
 17 technical advisor.

## 18           **5. CHALLENGES TO CONFIDENTIALITY DESIGNATIONS**

19           5.A.    The parties shall use reasonable care when designating documents or information as  
 20 Protected Information. Nothing in this Order shall prevent a receiving party from contending that  
 21 any documents or information designated as Protected Information have been improperly  
 22 designated. A receiving party may at any time request that the producing party cancel or modify the  
 23 Protected Information designation with respect to any document or information contained therein.

24           5.B.    A party shall not be obligated to challenge the propriety of a designation of any  
 25 category of Protected Information at the time of production, and a failure to do so shall not preclude  
 26 a subsequent challenge thereto. Such a challenge shall be written, shall be served on counsel for the  
 27 producing party, and shall particularly identify the documents or information that the receiving  
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1 party contends should be differently designated. The parties shall use their best efforts to resolve  
 2 promptly and informally such disputes. The burden of demonstrating the confidential nature of any  
 3 information shall at all times be and remain on the designating party. If an agreement cannot be  
 4 reached, the receiving party shall request that the Court cancel or modify a designation.

5 5.C. Until a determination by the Court, or until and unless the parties formally agree in  
 6 writing to the contrary, the information in issue shall be treated as having been properly designated  
 7 and subject to the terms of this Order.

## 8 **6. LIMITATIONS ON THE USE OF PROTECTED INFORMATION**

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 10 6.A. All Protected Information shall be held in confidence by each person to whom it is  
 11 disclosed, shall be used only for purposes of this litigation, shall not be used for any business  
 12 purpose or in connection with any other legal proceeding, and shall not be disclosed to any person  
 13 who is not entitled to receive such information as herein provided. All produced Protected  
 14 Information shall be carefully maintained so as to preclude access by persons who are not entitled to  
 15 receive such information.

16 6.B. Except as may be otherwise ordered by the Court, any person may be examined as a  
 17 witness at depositions and trial and may testify concerning all Protected Information of which such  
 18 person has prior knowledge. Without in any way limiting the generality of the foregoing:

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 20 6.B.1. A present director, officer, and/or employee of a producing party may be  
 21 examined and may testify concerning all Protected Information which has been produced by that  
 22 party and of which the witness has personal knowledge;

23 6.B.2. A former director, officer, agent and/or employee of a producing party may  
 24 be interviewed, examined and may testify concerning all Protected Information of which he or she  
 25 has personal knowledge, including any Protected Information that refers to matters of which the  
 26 witness has personal knowledge, which has been produced by that party and which pertains to the  
 27 period or periods of his or her employment; and  
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1           6.B.3. Non-parties may be examined or testify concerning any document containing  
2 Protected Information of a producing party which appears on its face or from other documents or  
3 testimony to have been received from or communicated to the non-party as a result of any contact or  
4 relationship with the producing party or a representative of the producing party. Any person other  
5 than the witness, his or her attorney(s), or any person qualified to receive Protected Information  
6 under this Order shall be excluded from the portion of the examination concerning such  
7 information, unless the producing party consents to persons other than qualified recipients being  
8 present at the examination. If the witness is represented by an attorney who is not qualified under  
9 this Order to receive such information, then prior to the examination, the attorney must provide a  
10 signed statement, in the form of Attachment A hereto, that he or she will comply with the terms of  
11 this Order and maintain the confidentiality of Protected Information disclosed during the course of  
12 the examination. In the event that such attorney declines to sign such a statement prior to the  
13 examination, the parties, by their attorneys, shall jointly seek a protective order from the Court  
14 prohibiting the attorney from disclosing Protected Information.

15           6.C. All transcripts of depositions, exhibits, answers to interrogatories, pleadings, briefs,  
16 and other documents submitted to the Court which have been designated as Protected Information,  
17 or which contain information so designated, shall be filed under seal in a manner prescribed by the  
18 Court for such filings.

19           6. D. Outside attorneys of record for the parties are hereby authorized to be the persons  
20 who may retrieve confidential exhibits and/or other confidential matters filed with the Court upon  
21 termination of this litigation without further order of this Court, and are the persons to whom such  
22 confidential exhibits or other confidential matters may be returned by the Clerk of the Court, if they  
23 are not so retrieved. No material or copies thereof so filed shall be released except by order of the  
24 Court, to outside counsel of record, or as otherwise provided for hereunder. Notwithstanding the  
25 foregoing and with regard to material designated as RESTRICTED CONFIDENTIAL — SOURCE  
26 CODE provisions are controlling to the extent those provisions differ from this section.  
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1           6.E.   Protected Information shall not be copied or otherwise produced by a receiving  
2 party, except for transmission to qualified recipients, without the written permission of the  
3 producing party, or, in the alternative, by further order of the Court. Nothing herein shall, however,  
4 restrict a qualified recipient from making working copies, abstracts, digests and analyses of  
5 CONFIDENTIAL and CONFIDENTIAL OUTSIDE COUNSEL ONLY information for use in  
6 connection with this litigation and such working copies, abstracts, digests and analyses shall be  
7 deemed Protected Information under the terms of this Order. Further, nothing herein shall restrict a  
8 qualified recipient from converting or translating CONFIDENTIAL and CONFIDENTIAL  
9 OUTSIDE COUNSEL ONLY information into machine readable form for incorporation into a data  
10 retrieval system used in connection with this action, provided that access to that Protected  
11 Information, in whatever form stored or reproduced, shall be limited to qualified recipients.

12           6.F.   At the request of any party, the original and all copies of any deposition transcript, in  
13 whole or in part, shall be marked “CONFIDENTIAL,” “CONFIDENTIAL OUTSIDE COUNSEL  
14 ONLY,” or “RESTRICTED CONFIDENTIAL — SOURCE CODE,” by the reporter. This request  
15 may be made orally during the deposition or in writing within fifteen (15) days of receipt of the  
16 final certified transcript. Deposition transcripts shall be treated by default as CONFIDENTIAL  
17 OUTSIDE COUNSEL ONLY until the expiration of the time to make a confidentiality designation.  
18 Any portions so designated shall thereafter be treated in accordance with the terms of this Order.

19           6.G.   Each party receiving Protected Information shall comply with all applicable export  
20 control statutes and regulations. *See, e.g.*, 15 C.F.R. § 734.2(b). No Protected Information may  
21 leave the territorial boundaries of the United States of America or be made available to any foreign  
22 national who is not (i) lawfully admitted for permanent residence in the United States or (ii)  
23 identified as a protected individual under the Immigration and Naturalization Act (8 U.S.C. §  
24 1324b(a)(3)). Without limitation, this prohibition extends to Protected Information (including  
25 copies) in physical and electronic form. The viewing of Protected Information through electronic  
26 means outside the territorial limits of the United States of America is similarly prohibited.  
27 Notwithstanding this prohibition, Protected Information, exclusive of material designated  
28

RESTRICTED CONFIDENTIAL — SOURCE CODE, and to the extent otherwise permitted by law, may be taken outside the territorial limits of the United States if it is reasonably necessary for a deposition taken in a foreign country. The restrictions contained within this Section may be amended through the consent of the producing Party to the extent that such agreed to procedures conform with applicable export control laws and regulations.

**7. NON-PARTY USE OF THIS PROTECTIVE ORDER**

7.A. A nonparty producing information or material voluntarily or pursuant to a subpoena or a court order may designate such material or information as Protected Information pursuant to the terms of this Protective Order.

7.B. A nonparty's use of this Protective Order to protect its Protected Information does not entitle that nonparty access to the Protected Information produced by any party in this case.

**8. NO WAIVER OF PRIVILEGE**

Nothing in this Protective Order shall require production of information that a party contends is protected from disclosure by the attorney-client privilege, the work product immunity or other privilege, doctrine, right, or immunity. If information subject to a claim of attorney-client privilege, work product immunity, or other privilege, doctrine, right, or immunity is nevertheless inadvertently or unintentionally produced, such production shall in no way prejudice or otherwise constitute a waiver or estoppel as to any such privilege, doctrine, right or immunity. Any party that inadvertently produces materials protected by the attorney-client privilege, work product privilege, or other privilege, doctrine, right, or immunity may obtain the return of those materials by promptly notifying the recipient(s) and providing a privilege log for the inadvertently produced materials. The recipient(s) shall gather and return all copies of the privileged material to the producing party, except for any pages containing privileged markings by the recipient, which pages shall instead be destroyed and certified as such by the recipient to the producing party. Notwithstanding this provision, outside litigation counsel of record are not required to delete information that may reside on their respective firm's electronic back-up systems that are over-written in the normal course of business.

1       **9.       MISCELLANEOUS PROVISIONS**

2               9.A.     Any of the notice requirements herein may be waived, in whole or in part, but only  
3 in writing signed by the attorney-in-charge for the party against whom such waiver will be  
4 effective.

5               9.B.     Inadvertent or unintentional production of documents or things containing Protected  
6 Information which are not designated as one or more of the three categories of Protected  
7 Information at the time of production shall not be deemed a waiver in whole or in part of a claim for  
8 confidential treatment. With respect to documents, the producing party shall immediately upon  
9 discovery notify the other parties of the error in writing and provide replacement pages bearing the  
10 appropriate confidentiality legend. In the event of any disclosure of Protected Information other  
11 than in a manner authorized by this Protective Order, including any unintentional or inadvertent  
12 disclosure, counsel for the party responsible for the disclosure shall immediately notify opposing  
13 counsel of all of the pertinent facts, and make every effort to further prevent unauthorized  
14 disclosure including, retrieving all copies of the Protected Information from the recipient(s) thereof,  
15 and securing the agreement of the recipients not to further disseminate the Protected Information in  
16 any form. Compliance with the foregoing shall not prevent the producing party from seeking  
17 further relief from the Court.

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19               9.C.     Within sixty days after the entry of a final non-appealable judgment or order, or the  
20 complete settlement of all claims asserted against all parties in this action, each party shall, at the  
21 option of the producing party, either return or destroy all physical objects and documents which  
22 embody Protected Information it has received, and shall destroy in whatever form stored or  
23 reproduced, all physical objects and documents, including but not limited to, correspondence,  
24 memoranda, notes and other work product materials, which contain or refer to any category of  
25 Protected Information. All Protected Information, not embodied in physical objects and documents  
26 shall remain subject to this Order. In the event that a party is dismissed before the entry of a final  
27 non-appealable judgment or order, this same procedure shall apply to any Protected Information  
28 received from or produced to the dismissed party. Notwithstanding this provision, outside litigation

1 counsel of record are not required to delete information that may reside on their respective firm's  
2 electronic back-up systems that are over-written in the normal course of business. Notwithstanding  
3 the foregoing, outside counsel shall be entitled to maintain one (1) copy of all pleadings, motions  
4 and trial briefs (including all supporting and opposing papers and exhibits thereto), written  
5 discovery requests and responses (and exhibits thereto), deposition transcripts (and exhibits thereto),  
6 trial transcripts, and exhibits offered or introduced into evidence at any hearing or trial, and their  
7 attorney work product which refers or is related to any CONFIDENTIAL and CONFIDENTIAL  
8 OUTSIDE COUNSEL ONLY information for archival purposes only. If a party opts to destroy  
9 CONFIDENTIAL or CONFIDENTIAL OUTSIDE COUNSEL ONLY information, the party must  
10 provide a Certificate of Destruction to the producing party.

11 9.D. If at any time documents containing Protected Information are subpoenaed by any  
12 court, arbitral, administrative or legislative body, or are otherwise requested in discovery, the person  
13 to whom the subpoena or other request is directed shall immediately give written notice thereof to  
14 every party who has produced such documents and to its counsel and shall provide each such party  
15 with an opportunity to object to the production of such documents. If a producing party does not  
16 take steps to prevent disclosure of such documents within ten business days of the date written  
17 notice is given, the party to whom the referenced subpoena is directed may produce such documents  
18 in response thereto, but shall take all reasonable measures to have such documents treated in  
19 accordance with terms of this Protective Order.

20 9.E. Testifying experts shall not be subject to discovery of any draft of their reports in this  
21 case and such draft reports, notes, outlines, or any other writings leading up to an issued report(s) in  
22 this litigation are exempt from discovery. In addition, all communications between counsel for a  
23 party and that party's testifying expert, and all materials generated by a testifying expert with  
24 respect to that person's work, are exempt from discovery unless they relate to the expert's  
25 compensation or identify facts, data or assumptions relied upon by the expert in forming any  
26 opinions in this litigation and such information is not already disclosed in the expert's report.  
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1           9.F. No party shall be required to identify on their respective privilege log any document  
2 or communication dated on or after the filing of the lawsuit, which absent this provision, the party  
3 would have been obligated to so identify on said privilege log. The parties shall exchange their  
4 respective privilege document logs at a time to be agreed upon by the parties following the  
5 production of documents.

6           9.G. This Order is entered without prejudice to the right of any party to apply to the Court  
7 at any time for additional protection, or to relax or rescind the restrictions of this Order, when  
8 convenience or necessity requires. Furthermore, without application to this Court, any party that is  
9 a beneficiary of the protections of this Order may enter a written agreement releasing any other  
10 party hereto from one or more requirements of this Order even if the conduct subject to the release  
11 would otherwise violate the terms herein.

12           9.H. The United States District Court for the Northern District of California is responsible  
13 for the interpretation and enforcement of this Agreed Protective Order. After termination of this  
14 litigation, the provisions of this Agreed Protective Order shall continue to be binding except with  
15 respect to those documents and information that become a matter of public record. This Court  
16 retains and shall have continuing jurisdiction over the parties and recipients of the Protected  
17 Information for enforcement of the provision of this Agreed Protective Order following termination  
18 of this litigation. All disputes concerning Protected Information produced under the protection of  
19 this Agreed Protective Order shall be resolved by the United States District Court for the Northern  
20 District of California.

21           9.I. Nothing in this Protective Order shall preclude or impede outside litigation counsel  
22 of record's ability to communicate with or advise their client in connection with this litigation only  
23 based on such counsel's review and evaluation of Protected Information, provided however, that  
24 such communications or advice shall not disclose or reveal the substance or content of any  
25 Protected Information other than as permitted under this Protective Order.  
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**ATTACHMENT A TO THE AGREED PROTECTIVE ORDER CONFIDENTIAL  
AGREEMENT**

1. My name is \_\_\_\_\_.
2. I reside at \_\_\_\_\_.
3. My present employer is \_\_\_\_\_.
4. My present occupation or job description is \_\_\_\_\_.
5. I have read the Agreed Protective Order dated \_\_\_\_\_, 20\_\_, and have been engaged as \_\_\_\_\_ on behalf of \_\_\_\_\_ in the preparation and conduct of litigation styled \_\_\_\_\_.
6. I am fully familiar with and agree to comply with and be bound by the provisions of said Order. I understand that I am to retain all copies of any documents designated as CONFIDENTIAL, CONFIDENTIAL OUTSIDE COUNSEL ONLY and/or RESTRICTED CONFIDENTIAL-SOURCE CODE, or any similar designation, in a secure manner, and that all copies are to remain in my personal custody until I have completed my assigned duties, whereupon the copies and any writings prepared by me containing any information designated CONFIDENTIAL, CONFIDENTIAL OUTSIDE COUNSEL ONLY and/or RESTRICTED CONFIDENTIAL-SOURCE CODE, or any similar designation, are to be returned to counsel who provided me with such material.
7. I will not divulge to persons other than those specifically authorized by said Order, and will not copy or use except solely for the purpose of this action, any information obtained pursuant to said Order, except as provided in said Order. I also agree to notify any stenographic or clerical personnel who are required to assist me of the terms of said Order.
8. In accordance with section 3.C of the agreed Protective Order (if applicable), I have attached my resume, curriculum vitae or other information to this executed Confidentiality Agreement sufficient to identify my current employer and employment history for the past ten years, and the cases in which I have testified as an expert at trial or by deposition within the preceding five years.

I state under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

By: \_\_\_\_\_

Executed on \_\_\_\_\_, 20 \_\_\_\_.



**SIGNATURE ATTESTATION**

Pursuant to General Order No. 45(X)(B) and Local Rule 5(i)(3), I hereby certify that concurrence in the filing of this document has been obtained from each of the signatories shown above.

/s/ Nicholas H. Lee  
Nicholas H. Lee